

CMS PERM Cycle 1 FY 2012 Corrective Action Plan (CAP)

State Checklist

This checklist is intended to be a useful tool to assist states with developing their PERM corrective action plans in accordance with 42 CFR Section 431.992. States should ensure each of the items below are completed before submitting the corrective action plan to their CMS PERM CAP Liaison.

	Complete
Separate CAPs for Medicaid and CHIP	<input type="checkbox"/>
Medicaid CAP Summary Cover Page	
<i>State name and Fiscal Year</i>	<input type="checkbox"/>
<i>Date submitted</i>	<input type="checkbox"/>
<i>State contact information (email address & phone number)</i>	<input type="checkbox"/>
<i>Medicaid error rates</i>	<input type="checkbox"/>
<i>Summary of error causes & applicable corrective actions</i>	<input type="checkbox"/>
Medicaid Detailed CAP	
• FFS Component CAP included	<input type="checkbox"/>
o Data analysis section included	<input type="checkbox"/>
o Program analysis section included	<input type="checkbox"/>
o Corrective action planning section included	<input type="checkbox"/>
o Implementation and monitoring section included	<input type="checkbox"/>
o Evaluation section included	<input type="checkbox"/>
o Every error addressed	<input type="checkbox"/>
o Every deficiency addressed	<input type="checkbox"/>
• Managed Care Component CAP included	<input type="checkbox"/>
o Data analysis section included	<input type="checkbox"/>
o Program analysis section included	<input type="checkbox"/>
o Corrective action planning section included	<input type="checkbox"/>
o Implementation and monitoring section included	<input type="checkbox"/>
o Evaluation section included	<input type="checkbox"/>
o Every error addressed	<input type="checkbox"/>
o Every deficiency addressed	<input type="checkbox"/>
• Eligibility Component	<input type="checkbox"/>
o Data analysis section included	<input type="checkbox"/>
o Program analysis section included	<input type="checkbox"/>
o Corrective action planning section included	<input type="checkbox"/>
o Implementation and monitoring section included	<input type="checkbox"/>
o Evaluation section included	<input type="checkbox"/>
o Every active case error addressed	<input type="checkbox"/>
o Every negative case error addressed	<input type="checkbox"/>
Evaluation of Previous Medicaid Cycle CAP	
• CAP specifies whether each corrective action listed in the previous cycle CAP was implemented or not implemented	<input type="checkbox"/>
• For implemented corrective actions the CAP includes:	
o When the action was implemented (<i>implemented in accordance with the original implementation schedule?</i>)	<input type="checkbox"/>
o Status of the corrective action (<i>is it complete, in progress, or ongoing? Expected completion date? On target?</i>)	<input type="checkbox"/>

<ul style="list-style-type: none"> o Evaluation of the effectiveness of the corrective action (<i>using objective data such as focused reviews, questionnaires, surveys, etc.</i>) 	<input type="checkbox"/>
<ul style="list-style-type: none"> • For actions not implemented the CAP includes: 	
<ul style="list-style-type: none"> o Why the action was not implemented 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Was the action discontinued, modified, terminated, or replaced with another corrective action 	<input type="checkbox"/>
<ul style="list-style-type: none"> • CAP includes an overall discussion of the State's ability to meet PERM error rate targets as identified by CMS 	<input type="checkbox"/>
CHIP CAP Summary Cover Page	
<i>State name and Fiscal Year</i>	<input type="checkbox"/>
<i>Date submitted</i>	<input type="checkbox"/>
<i>State contact information (email address & phone number)</i>	<input type="checkbox"/>
<i>CHIP error rates</i>	<input type="checkbox"/>
<i>Summary of error causes & applicable corrective actions</i>	<input type="checkbox"/>
CHIP Detailed CAP	
<ul style="list-style-type: none"> • FFS Component CAP included 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Data analysis section included 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Program analysis section included 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Corrective action planning section included 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Implementation and monitoring section included 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Evaluation section included 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Every error addressed 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Every deficiency addressed 	<input type="checkbox"/>
<ul style="list-style-type: none"> • Managed Care Component CAP included 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Data analysis section included 	<input type="checkbox"/>
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<ul style="list-style-type: none"> o Every error addressed 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Every deficiency addressed 	<input type="checkbox"/>
<ul style="list-style-type: none"> • Eligibility Component 	<input type="checkbox"/>
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<ul style="list-style-type: none"> o Evaluation section included 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Every active case error addressed 	<input type="checkbox"/>
<ul style="list-style-type: none"> o Every negative case error addressed 	<input type="checkbox"/>